

US PLANNING GROUP

A NEW YORK CORPORATION

Our 6 Step Planning Process

US Planning Group (NY) serves the needs of both individuals and business owners looking to reach their aspired financial goals. For individuals, we recognize a client's unique financial needs while tailoring a sound, customized plan to help them meet their personal financial goals. For our business owners, we will provide a comprehensive plan focused on profitability and overall company success. We work in a team approach with attorney, accountants, and other key advisors to help you reach financial milestones and objectives.

1. Introduction: Establish and define the client-advisor relationship.

We will clearly explain or document the services to be provided to you and define both our and you (the client's) responsibilities. We will also explain fully how he will be paid and by whom.

2. Gather Data:

We will ask for information about your financial situation and mutually define your personal and financial goals, understand your time frame for results and discuss, if relevant, how you feel about risk. We will gather all the necessary documents before giving you the advice you need.

3. Analyze and evaluate your financial status:

We will analyze your information to assess your current situation and determine what you must do to meet your goals. Depending on what services you have asked for, this could include analyzing your assets, liabilities and cash flow, current insurance coverage, investments or tax strategies.

4. Develop and present financial planning recommendations and/or alternatives:

At this stage we will offer financial planning recommendations that address your goals, based on the information you provide. We will go over the recommendations with you to help you understand them so that you can make informed decisions. We will also listen to your concerns and revise the recommendations as appropriate.

5. Implement the financial planning recommendations:

We will agree on how the recommendations will be carried out. We may carry out the recommendations or serve as your "coach," coordinating the whole process with you and other professionals such as attorneys or tax advisors.

6. Monitor the financial planning recommendations:

We will report to you periodically to review your situation and adjust the recommendations, if needed, as your life changes.